



# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, any required copies of documents listed thereon, and any concise explanation of their relevance is indicated below per 37 CFR 1.97.

Application Number	09/819,286
Filing Date	MARCH 28, 2001
First Named Inventor	SRINIVAS GUTTA
Group Art Unit	2611
Examiner Name	
Attorney Docket Number	US010097

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- Please charge any required fee under §1.17(i) or §1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.
- I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago.
  - I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in §1.56(c) more than three (3) months ago.
    - Applicant hereby petitions under §1.97(d) that this IDS be considered after final Action or Notice of Allowance, pays the fee under §1.17(p) as indicated below, and I certify 1. or 2. as indicated above.
    - A fee under §1.17(p) is not required under §1.97(c), after the first Action on the merits and more than 3 months after the date of application or RCE, because I certify 1. or 2. as indicated above.
    - A copy of the citations is not required because they were previously submitted or cited in the parent application (or in U.S. patent application Ser. No. \_\_\_\_\_ Filing Date \_\_\_\_\_ relied on for an earlier effective filing date under 35 U.S.C. 120).
    - A concise explanation of the relevance of each non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is enclosed per §1.98(a)(3).

The concise explanation of the relevance of any non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is that the document is/was (check one):

- cited in the specification or considered in drafting the specification of this application;
- previously submitted or cited in the parent application (or in a related patent application Ser. No. \_\_\_\_\_ Filing Date: \_\_\_\_\_);
- cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	Dicran Halajian	Registration No. (Attorney/Agent)	39,703
Signature		Date	3/18/04

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# : \_\_\_\_\_ on the date below:

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Signature		Date	3/18/04

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Form PTO-1449 COMMERCE (REV. 7-80)	U.S. DEPARTMENT OF PATENT AND TRADEMARK OFFICE	Atty. Docket No. US010097	Serial No. 09/819,286
OIPC MAR 22 2004 INFORMATION DISCLOSURE CITATION (use several sheets if necessary)			
Applicant SRINIVAS GUTTA			
Filing Date MARCH 28, 2001		Group 2611	

## U.S. PATENT DOCUMENTS

Ex. Int.		Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.
	AA						
	AB						
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	AD						
	AE						
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## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- class	Trans.	
							Yes	No
	AG							
	AH							
	AI							
	AJ							
	AK							

## OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

AL	BY SRINIVAS GUTTA ET AL. ENTITLED: TV CONTENT RECOMMENDER SYSTEM, PHILIPS RESEARCH, INTELLIGENT SYSTEMS DEMONSTRATIONS, AMERICAN ASSOCIATION FOR ARTIFICIAL INTELLIGENCE. Page#1121, <a href="http://www.aai.org">www.aai.org</a>
AM	
AN	

Examiner \_\_\_\_\_ Date Considered \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP.  
 Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.